#### **Lecture 5: Decision Trees**

#### COMP 411, Fall 2021 Victoria Manfredi





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# **Today's Topics**

#### Decision trees (non-linear classifiers)

- Motivation
- Representation
  - What are decision trees?

# Decision Trees REPRESENTATION

# Key issues in machine learning

#### Modeling

 How to formulate your problem as a machine learning problem? How to represent data? Which algorithms to use? What learning protocols?

#### Representation

Good hypothesis spaces and good features

#### Algorithms

- What is a good learning algorithm?
- What is success?
- Generalization vs. overfitting
- The computational question: how long will learning take?

# Coming up ...

#### Different hypothesis spaces and learning algorithms

#### **Linear regression**

Least mean squares regression

#### **Linear classifiers**

- Perceptron
- Logistic regression

#### **Non-linear classifiers**

Decision trees and ID3 algorithm

Provides a "gentle" introduction to machine learning concepts

Multi-layer perceptron (neural networks)

#### **Reinforcement learning**

### Coming up ...

#### Different hypothesis spaces and learning algorithms

Important issues to consider

1. What do these hypotheses represent?

2. Implicit assumptions and tradeoffs

3. Generalization?

4. How do we learn?

# Decision Trees REPRESENTATION

Name	Label
Norman Danner	+
Karen Collins	-
Dan Licata	+
Danny Krizanc	+
Saray Shai	+
Wai Kiu Chan	-

Name	Faculty department	Name contains Dan	Second character of first name	Label
Norman Danner	CS	Yes	0	+
Karen Collins	Math	No	а	-
Dan Licata	CS	Yes	а	+
Danny Krizanc	CS	Yes	а	+
Saray Shai	CS	No	а	+
Wai Kiu Chan	Math	No	а	-

Name	Faculty department	Name contains Dan	Second character of first name	Label			
Norman Danner	CS	Yes	0	+			
Karen With these three attributes, how many unique rows are possible?							
Dan L	Dan L						
Danny	Danny						
Saray Shai	CS	No	а	+			
Wai Kiu Chan	Math	No	а	-			

Name	Faculty department	Name contains Dan	Second character of first name	Label		
Norman Danner	CS	Yes	0	+		
KarenWith these three attributes, how many unique rows are possible? $2 \times 2 \times 26 = 104$ Dan LIf there are 100 attributes, all binary, how many unique rows are						
Danny (100 times) $2 \times 2 \times 2 \times 2 \times \cdots \times 2 = 2^{100}$						
Saray Shai	CS	No	а	+		
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Saray Chainer and Compared to store all possible rows, this number is too large							
Wai K efficient v	to figure out hov vay	w to represent d	lata in a <mark>better,</mark> r	nore			

### What are decision trees?

A hierarchical data structure that represents data using a divide-and-conquer strategy

Can be used as a hypothesis class for non-parametric classification or regression

General idea: given a collection of examples, learn a decision tree that represents it. Use this representation to classify new examples

## What are decision trees?

Decision trees are a family of classifiers for instance that are represented by collections of attributes (i.e., feature vectors: color=; shape=; label=)

Nodes are tests for feature values

There is one branch for every value that the feature can take

Leaves of the tree specify the category (class labels)





Before building a decision tree:

What is the label for a red triangle? And why?



What are some attributes of the examples? Color? Color, shape Blue Red Green









## **Expressivity of decision trees**

What Boolean function can decision trees represent?

• Any Boolean function



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What Boolean function can decision trees represent?

• Any Boolean function



(Color=Blue AND Shape=Triangle => Label=B) AND (Color=Blue AND Shape=Square => Label=A) AND (Color=Blue AND Shape=Circle => Label=C) AND ....

Any Boolean function can be represented as a decision tree

### **Decision Trees**

Outputs are discrete categories

But real valued outputs are possible (regression trees)

There are methods for handling noisy data (noise in the label or in the features) and for handling missing attribute values. Pruning trees helps with noise. More on this later ...

### Numeric attributes and decision boundaries

We have seen instances represented as attribute-value pairs (color=Blue, shape=Square, second letter=a)

Values have been categorical

How do we deal with numeric feature values (e.g., length = ?)

- discretize values or use thresholds on the values for splitting nodes
- This example divides the features space into axis-parallel rectangles

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### Summary: decision trees

Decision trees can represent any Boolean function A way to represent a lot of data A natural representation (think playing 20 questions) Predicting with a decision tree classifier is easy

Clearly, given a dataset, there are many decision trees that can represent it. [Exercise: Why?]

Learning a good representation from data is the challenge

# Decision Trees LEARNING

# History of decision tree research

Full search decision tree methods to model human concept learning: Hunt et al 60s, psychology

Quinlan developed the ID3 (*Iterative Dichotomiser 3*) algorithm with the information gain heuristic to learn expert systems from examples (late 70s)

Breiman, Freidman and colleagues in statistics developed CART (*Classification And Regression Trees*)

A variety of improvements in the 80s: coping with noise, continuous attributes, missing data, non-axis parallel, etc.

Quinlan's updated algorithms, C4.5 (1993) and C5 are more commonly used

Boosting (or Bagging) over decision trees is a very good general purpose algorithm

# Will I play tennis today?

#### Features

- Outlook:
- Temperature:
- Humidity:
- Wind:

{Sun, Overcast, Rain}
{Hot, Mild, Cool}
{High, Normal, Low}
{Strong, Weak}

#### Labels

– Binary classification task: Y = {+, -}

# Will I play tennis today?

	0	Т	Н	W	Play?	<u>O</u> utlook:
1	S	Н	Н	W	-	
2	S	Н	Н	S	-	
3	0	Н	Н	W	+	
4	R	Μ	Н	W	+	Temperat
5	R	С	Ν	W	+	Temberat
6	R	С	Ν	S	-	
7	0	С	Ν	S	+	
8	S	Μ	Н	W	-	Humidity
9	S	С	Ν	W	+	<u>n</u> unnunty.
10	R	М	Ν	W	+	
11	S	Μ	Ν	S	+	
12	0	М	н	S	+	
13	0	Н	Ν	W	+	<u>W</u> ind:
14	R	Μ	Н	S	-	
	1 2 3 4 5 6 7 8 9 10 11 12 12 13 14	O1S2S3O4R5R6R7O8S9S10R11S12O13O14R	O         T           1         S         H           2         S         H           3         O         H           4         R         M           5         R         C           6         R         C           7         O         C           8         S         M           9         S         C           10         R         M           11         S         M           12         O         H           13         O         H           14         R         M	O         T         H           1         S         H         H           2         S         H         H           3         O         H         H           4         R         M         H           5         R         C         N           5         R         C         N           6         R         C         N           7         O         C         N           9         S         M         H           9         S         M         N           11         S         M         N           12         O         M         N           13         O         H         N           14         R         M         H	O         T         H         W           1         S         H         H         W           2         S         H         H         S           3         O         H         H         W           4         R         M         H         W           5         R         C         N         W           6         R         C         N         S           7         O         C         N         S           8         S         M         H         W           9         S         C         N         W           10         R         M         N         W           11         S         M         N         S           12         O         M         N         S           13         O         H         N         W           14         R         M         H         S	O         T         H         W         Play?           1         S         H         H         W         -           2         S         H         H         S         -           3         O         H         H         S         -           4         R         M         H         W         +           5         R         C         N         W         +           6         R         C         N         S         -           7         O         C         N         S         -           8         S         M         H         W         -           9         S         C         N         S         +           10         R         M         N         S         +           11         S         M         N         S         +           12         O         M         H         S         +           13         O         H         N         W         +           14         R         M         H         S         -

<u>S</u>unny, Overcast, **R**ainy <u>H</u>ot, ure: Medium, <u>**C**</u>ool <u>H</u>igh, • <u>N</u>ormal, Low <u>S</u>trong, <u>W</u>eak

### Basic decision tree learning algorithm

Data is processed in batch (i.e., all of the data available) Recursively build a decision tree top down

	0	Т	Η	W	Play?
1	S	Н	Н	W	-
2	S	Н	Н	S	-
3	0	Н	Н	W	+
4	R	Μ	Н	W	+
5	R	С	Ν	W	+
6	R	С	Ν	S	-
7	0	С	Ν	S	+
8	S	Μ	Н	W	-
9	S	С	Ν	W	+
10	R	Μ	Ν	W	+
11	S	Μ	Ν	S	+
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13	0	Н	Ν	W	+
14	R	М	Н	S	-



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9	S	С	Ν	W	+
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6	R	С	Ν	S	-
7	0	С	Ν	S	+
8	S	Μ	Н	W	-
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11	S	Μ	Ν	S	+
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14	R	М	Н	S	-



### Basic decision tree algorithm: ID3

#### ID3(<u>S</u>, <u>A</u>):

1. If all examples have same label

Return a single node tree with the label

#### 2. Otherwise

1. Create a root node, R, for tree Decide what att

Input:

*S* is the set of examples

A is the set of measured attributes

Decide what attribute goes at the top

#### 4. Return root node R

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- 2.  $A_b \in A$  is the attribute that <u>best</u> classifies S
- 3. For each possible value v that  $A_b$  can take on

Input:

- *S* is the set of examples
- A is the set of measured attributes

Decide what to do for each value root attribute takes

## Basic decision tree algorithm: ID3

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#### 2. Otherwise

- 1. Create a root node, R, for tree Decide what attribute goes at the top
- 2.  $A_b \in A$  is the attribute that <u>best</u> classifies S
- 3. For each possible value v that  $A_b$  can take on
  - Add a new tree branch for attribute  $A_b$  taking value v
  - Let  $S_v \subseteq S$  be the subset of examples with  $A_b = v$
  - If  $S_v = \emptyset$ : add leaf node with the common value of label in S Why?

For generalization at test time

Decide what to do for each

value root attribute takes

Else: below this branch add the subtree ID3( $S_{\nu}$ ,  $A - \{A_b\}$ )

Recursive call to the Id3 algorithm with all the remaining attributes

4. Return root node R

Input:

- S is the set of examples
- A is the set of measured attributes